# PCPs of sub-constant error via derandomized direct product

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Irit Dinur, Or Meir PCPs via derandomized parallel repetition

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Introduction Direct Product PCPs Construction





#### 3 Construction

- PCP based on Direct Product
- PCP based on Derandomized Direct Product
- PCPs and de-Bruijn Graphs

## Recall: A PCP verifier V for a language L is a probabilistic oracle machine that on input $x\colon$

- If  $x \in L$ , then  $\exists \pi \text{ s.t. } V^{\pi}(x)$  accepts w.p. 1.
- If  $x \notin L_i$  then  $\forall \pi$ :  $V^{\pi}(x)$  accepts with small probability.
- $\bullet~V$  makes few queries to the proof string.

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## PCP parameters

- q query complexity.
- s soundness error.
- ℓ proof length.
- $\Sigma$  proof alphabet.

#### The PCP theorem [AS92, ALMSS92]

Every  $L \in \mathbf{NP}$  has a PCP verifier with constant q, s and  $|\Sigma|$ , and with  $\ell = poly(n)$ .

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#### Decreasing the soundness error

One research direction, useful for hardness of approximation, is decreasing the soundness error:

- Wish to decrease s as much as possible ideally to a sub-constant.
- Wish to maintain constant q ideally 2.
- Wish to maintain polynomial  $\ell$ .

• Since  $s \ge 1/|\Sigma|^q$ , must have large  $\Sigma$ .

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#### State of the art

- Via parallel repetition [R95], one can get such a PCP with arbitrarily small contant s > 0.
- Folklore (explicit in [MR08]) using low-degree manifolds:  $s = 1/\text{poly} \log n$ ,  $|\Sigma| = \exp(\text{poly} \log n)$ .
- Recent result of [MR08] (simplification by [DH09]):  $\forall s \text{ have } |\Sigma| = \exp(1/s).$

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## Our work

- We show an alternative approach for achieving the folklore result (s = 1/poly log n, |Σ| = exp (poly log n)).
- Simpler, more intuitive using only the sampling properties of linear spaces.
- Our approach is based on derandomized direct product.
- Work in progress: Plugging the construction into the framework of [DH09].

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## Outline



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## Sequential and Parallel Repetition

- Sequential repetition: Invoking the verifier k times.
- Decreasing s to  $s^k$ .
- Increasing q to  $k \cdot q$ .
- Parallel repetition: Making invocations in parallel.
- Combining  $k \cdot q$  queries into q queries.

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- Given a string w ∈ Σ<sup>ℓ</sup>, the k-th direct product (k-DP) of w, denoted w<sup>⊗k</sup>, is a string of length (<sup>ℓ</sup><sub>k</sub>) over Σ<sup>k</sup>.
- For every  $\mathbf{i} = \{i_1, \dots, i_k\} \subseteq [\ell]$ , we define  $(w^{\otimes k})_{\mathbf{i}} = (w_{i_1}, \dots, w_{i_k}).$
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- A query to the new proof simulates k queries to the original proof.
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- Suppose we are given a false claim  $x \notin L$  and a proof  $\Pi$  for the new verifier.
- If  $\Pi$  is  $k\text{-}\mathsf{DP}$  (i.e.,  $\Pi=\pi^{\otimes k}$ ), the new verifier accepts with probability  $\leq s^k.$
- The proof length increases from  $\ell$  to  $pprox \ell^k$ .
- For super-constant k, the proof length is super-polynomial.
- So, wish to derandomize in order to obtain sub-constant error.

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- Parallel Repetition Theorem [R95]: the new verifier still accepts with probability  $\leq \exp(-k)$ .
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#### PCP based on Direct Product Test

- Natural solution: Direct Product Test.
- Test that the proof string is indeed a direct product.
- A DP test was analyzed by [GS97, DR04, DG08, IKW09].
- [IKW09] used this DP test to construct a PCP.
- This gives a considerably simpler proof for a "parallel repetition"-like theorem.

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## PCP based on Derandomized DP Test

- [IKW09] also suggested a notion of derandomized direct product, and showed it can be tested.
- However, they did not construct a PCP based on this derandomized direct product.
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## Constraint Graphs

- Proof coordinate  $\equiv$  Vertex.
- Proof string  $\equiv$  Assignment of symbols in  $\Sigma$  to the vertices.
- Possible test  $\equiv$  Edge.
- $x \in L \equiv$  Graph s.t.  $\exists$  satisfying assignment.
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#### Rest of the talk

Original verifier viewed as a graph.

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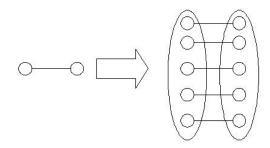
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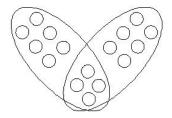
## Parallel Repetition on Constraint Graphs

- The verifier chooses k random edges.
- The verifier queries the oracle on the set of left endpoints and on the set of right endpoints.



## Direct Product Test [GS97, DR04, DG08, IKW09]

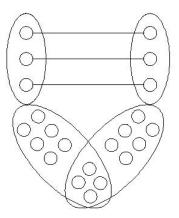
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### PCP based on DP Test

Natural way to combine parallel repetition with direct product (different than [IKW09]):

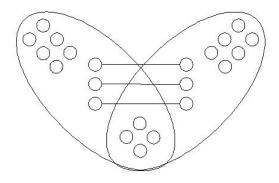


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### PCP based on DP Test

More convenient way to view it.



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PCP based on Direct Product PCP based on Derandomized Direct Product PCPs and de-Bruijn Graphs

### PCP based on DP Test

Given G = (V, E) and  $\Pi$ :

- Choose k<sub>0</sub>-set E<sub>0</sub> ⊆ E. Let C<sub>1</sub> and C<sub>2</sub> be the left and right endpoints of E<sub>0</sub>.
- Choose a  $k_1$ -subset  $A \subseteq V$ .
- Choose k-sets  $B_1$  and  $B_2$  of V containing  $A \cup C_1$  and  $A \cup C_2$ .
- Check that  $\Pi_{B_1}$  and  $\Pi_{B_2}$  agree on A, and satisfy  $E_0$ .

If G has constant soundness, then the probability that the test accepts is  $pprox \exp{(-k_0)}$ .

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## Derandomized Direct Product [IKW09]

- Suppose we want to take the direct product of a string  $w \in \Sigma^{\ell}$ .
- Identify coordinates in  $[\ell]$  with  $\mathbb{F}^m$ .
- Instead of taking all k-sets, take only sets that are d-dimensional subspaces of F<sup>m</sup>.

## Derandomized Direct Product Test [IKW09]

- Wish to test that a string  $\Pi$  is a k-DDP.
- Choose a  $d_1$ -subspace A of  $\mathbb{F}^m$ .
- Choose *d*-subspaces  $B_1, B_2$  containing *A*.
- Check that  $\Pi_{B_1}$  and  $\Pi_{B_2}$  agree on A.
- If  $\Pi$  is far from any k-DDP, the test rejects w.h.p. [IKW09].

## Why is constructing a PCP difficult?

Imagine the following test:

- Choose k<sub>0</sub>-set E<sub>0</sub> ⊆ E. Let C<sub>1</sub> and C<sub>2</sub> be the left and right endpoints of E<sub>0</sub>.
- Choose a  $d_1$ -subspace A.
- Choose d-subspaces  $B_1, B_2$  containing  $A \cup C_1, A \cup C_2$ .
- Check that  $\Pi_{B_1}$  and  $\Pi_{B_2}$  agree on A, and satisfy the edges in  $E_0.$

How do we know that  $B_1$  and  $B_2$  even exist?

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### Graphs with linear structure

We say that a graph G = (V, E) has linear structure if the following holds:

- The vertices V of G are identified with  $\mathbb{F}^m.$
- The edges E of G form a subspace of  $\mathbb{F}^{2m}$ .
- And:
  - Let  $E_0$  be a random  $d_0$ -subspace of E.
  - Let C be either the heads or tails of the edges in  $E_0$ .
  - Then, C is a random  $d_0$ -subspace of  $\mathbb{F}^m$ .

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## PCP based on Derandomized DP Test

Given G = (V, E) with linear structure and  $\Pi$ :

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If G has constant soundness, then the probability that the test accepts is  $\approx 1/k_0^{\Omega(1)}.$ 

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2 Direct Product PCPs

#### 3 Construction

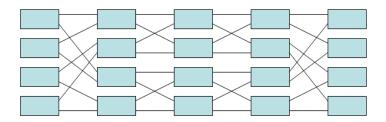
- PCP based on Direct Product
- PCP based on Derandomized Direct Product
- PCPs and de-Bruijn Graphs

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## de-Bruijn Graphs

A de-Bruijn graph is:

- A layered graph with  $\operatorname{poly}\left(\log n\right)$  layers.
- The vertices of every layer are identified with  $\mathbb{F}^t$ .
- The vertex  $(\alpha_1, \ldots, \alpha_t) \in \mathbb{F}^t$  in layer i is connected with  $(\alpha_2, \ldots, \alpha_t, \beta)$  in layer i + 1 for every  $\beta \in \mathbb{F}$ .



(Wikipedia)

## de-Bruijn Graphs

de-Bruijn graphs have linear structure:

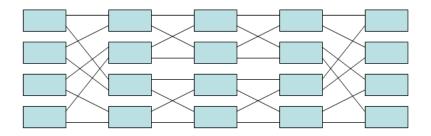
- We identify the vertices of the graph with  $\mathbb{F}^m$  for m = t + 1.
- Let  $\gamma$  be a generator of the multiplicative group of  $\mathbb{F}$ .
- The vertex  $(\alpha_1, \ldots, \alpha_t)$  in layer *i* is identified with  $(\gamma^i, \alpha_1, \ldots, \alpha_t)$ .
- Edges are of the form  $((\gamma^i, \alpha_1, \dots, \alpha_t), (\gamma^{i+1}, \alpha_2, \dots, \alpha_t, \beta))$ 
  - clearly a subspace of  $\mathbb{F}^{2m}$ .

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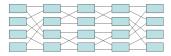
## Routing on de-Bruijn Graphs

de-Brujin Graphs are routing networks:

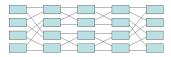
- $\bullet$  Given a permutation  $\sigma$  of the first layer to the last layer.
- Can find paths from each vertex v in the first layer to  $\sigma(v)$ .
- The paths are vertex-disjoint.



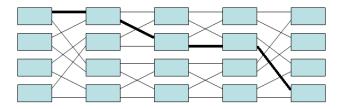
- We can use it to embed any constraint graph G = (V, E) in a de-Bruijn graph.
- With loss of generality, constraint graph has constant degree.
- Variant of [BFLS91, PS94].
- Assume that each vertex had degree 1, then:
  - Identify the first layer with V, and same for the last layer.
  - Define  $\sigma(u) = v$  if v is the neighbor of u in G.
  - Find vertex-disjoint paths for  $\sigma$ .
  - Embed the edges of G on the vertex-disjoint paths.



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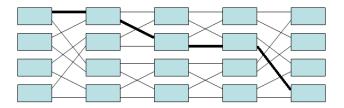
- How do we embed an edge e of G on a path  $e_1, \ldots, e_p$ ?
- Put equality constraints on  $e_1, \ldots, e_{p-1}$ .
- Associate  $e_p$  with the constraint of **e**.



• If G has constant degree d, repeat d times.

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Introduction Direct Product PCPs Construction PCP based on Direct Product PCP based on Derandomized Direct Product PCPs and de-Bruijn Graphs

Embedding PCPs on de-Bruijn Graphs

- The embedded PCP has soundness error  $1 \frac{1-s}{\operatorname{poly}\log n}$ .
- This is affordable.

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## Summary

- We obtain PCPs of sub-constant soundness ( $s = 1/\text{poly}\log n$ ,  $|\Sigma| = \exp(\text{poly}\log n)$ ).
- The construction is based on a direct product approach:
  - Testing that the proof is a direct product.
  - 2 Performing parallel repetition.
  - We use derandomized direct product.
- This is done by:
  - Showing a test for graphs with linear structure.
  - 2 Showing that de-Bruijn graphs have linear structure.
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# Thank you!

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