

Enhanced Duality In 4D supergravity

Renata Kallosh, Stanford

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1. Gauge-fixing local H symmetry in supergravity

RK, Samtleben, Van Proeyen, **KSVP** work in progress

2. The role of $Sp(2n, \mathcal{R})$ duality in quantum theory

RK work in progress

3. Enhanced duality in 4D supergravity

RK [arXiv:2405.20275](https://arxiv.org/abs/2405.20275)

And earlier work of RK in 2023,2024

Is 4D maximal supergravity special?

Ward Identities for Superamplitudes, [JHEP 06\(2024\)035](https://arxiv.org/abs/2405.20275)

Abstract

U-duality imposes strong constraints on the structure of UV divergences in supergravity

But Gaillard-Zumino **symplectic $\text{Sp}(2n, \mathcal{R})$ duality in 4D** has more symmetries than **U-duality**
(n is a number of vectors)

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In comparison, in $D > 4$ **maximal duality** symmetry is **U-duality**, there are no enhanced dualities

We argue that the **extra dualities**, enhancing U-dualities, **determine the properties of perturbative quantum supergravity**, being implemented into a **Hamiltonian path integral**

The presence/absence of enhanced dualities suggests a possible explanation of **known** amplitude loop computations in D -dimensional $\mathcal{N} > 4$ supergravities and of the special status of $D = 4$ in this respect

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Enhanced duality explains enhanced cancellations in $\mathcal{N} > 4$ supergravity in 4D

More 4D supergravity amplitude computations are desirable. New amplitude computations will show that either perturbative 4D supergravity is as bad as $D > 4$, or it continues to be special due to 4D enhanced symmetries!

Geometric Superinvariants, candidate counterterms, at the **critical loop order**

RK, 2023

$$S_{cr} = \kappa^{2(L_{cr}-1)} \int d^{4\mathcal{N}} d^D x \det E \mathcal{L}(x, \theta)$$

G/H coset space
supergravities

Below critical order there is no local H-symmetry, no global G symmetry and no local nonlinear supersymmetry

$$L_{cr} = \frac{2\mathcal{N} + n}{(D - 2)}, \quad n \geq 0$$

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D=4 $\mathcal{N} > 4$ no UV divergences so far

$$D = 4, L_{cr} = 8: \quad \kappa^{14} \int d^4 x D^{10} R^4 + \dots \quad n = 0$$

$$D = 5, L_{cr} = 6: \quad \kappa^{10} \int d^5 x D^{12} R^4 + \dots \quad n = 2$$

$$L_{UV} = 5 < L_{cr} = 6$$

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$$D = 9, L_{cr} = 3: \quad \kappa^4 \int d^9 x D^{15} R^4 + \dots \quad n = 5$$

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Maximal supergravities

Z. Bern et al

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All $D > 4$ UV divergences are at loop order below critical!



Local H-symmetry and G-symmetry
must have anomalies!

Cremmer-Julia 1979 gauge-fixed local $H=SU(8)$ of 4D maximal supergravity in the **symmetric gauge**

They also mention **Iwasawa gauge**: "**The choice of gauge is up to the user**"; 11D people seem to like the "Iwasawa" or triangular gauge best (we have seen that it has a remarkable polynomiality). The canonical or symmetrical gauge is more familiar

In **KSVP** in 2024 we have gauge-fixed **different versions of D-dimensional supergravities in various gauges**.

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In **KSVP** in 2024 we have gauge-fixed **different versions of D-dimensional supergravities in various gauges**.

We agree with CJ, **the choice of the gauge is up to the user**

However, **quantum theory is consistent only if these gauges give the same S-matrix**: the gauge equivalence of all these versions has to be investigated.

The local H-symmetry must be anomaly-free for the S-matrix to be independent of the user's choice!

A Tale of Two Supergravities in dimension D:

G $E_{8(8)} \supset E_{7(7)} \supset E_{6(6)} \supset E_{5(5)} \supset E_{4(4)} \supset E_{3(3)} \supset E_{2(2)} \supset E_{1(1)} \supset E_{0(0)}$
 $D = 3 \leftarrow D = 4 \leftarrow D = 5 \leftarrow D = 6 \leftarrow D = 7 \leftarrow D = 8 \leftarrow D = 9 \leftarrow D = 10 \leftarrow D = 11$

B. Julia
Group
Disintegrations



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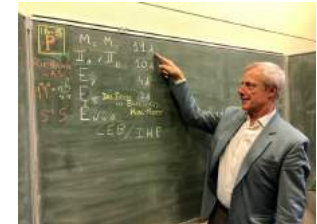
It is **not widely recognized** that there are two different types of supergravities in dimension D, with the same amount of local supersymmetry.

Supergravity I and supergravity II, KSVP

I: Supergravities with global U-duality symmetry G and **local H symmetry**, where H is the maximal compact subgroup of G, physical scalars in **(G/H)_D coset space** all scalars in the action in **symmetric H-gauge are dilaton**s, have **non-polynomial** dependence

4D: Cremmer Julia, 1979 de Wit, Nicolai 1982

6D Tani, 1984 Bergshoeff, Samtleben, Sezgin 2008



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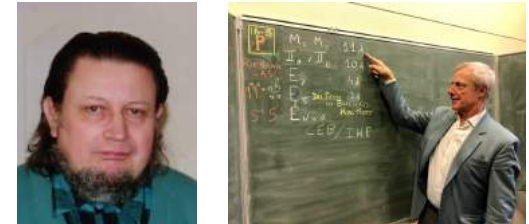
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II: Supergravities **dimensionally reduced from higher dimensions D+n, without dualization**. These have less global and local symmetries: higher dimensions have smaller U-dualities and smaller maximal subgroups inherited from higher dimensions

Some of the scalars in the action **necessarily** have **polynomial** dependence: **axions**

$D + 1 \rightarrow D$ examples

4D: Andrianopoli, D'Auria, Ferrara and Lledo, 2002

6D Cowdall, 1998

I: Supergravities where **all scalars** in the action in **symmetric gauge (dilaton)** have **non-polynomial** dependence

Marcus, 1985

Global H-symmetry
anomalies

natural physical parameterization of the scalar vielbein is
where ϕ is in a **noncompact** part of the algebra

G/H coset space

$$\mathcal{V} = e^\phi$$

any D

Amplitudes!

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II: Supergravities where **some of the scalars in the action (axions) necessarily have polynomial dependence**. **Dimensionally reduced (D+n) supergravities, no dualization, less symmetries:**

no global H-symmetry

Marcus anomaly?

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Marcus anomaly?

For every D

Andrianopoli, D'Auria, Ferrara, Fr'e, Minasian, Trigiante, 1996

$$D + 1 \rightarrow D$$

Dimension of **abelian nilpotent ideals** = **min number of axionic scalars in any D** in partial Iwasawa gauges
translational symmetries of the scalar manifolds



4D supergravity I

Cremmer Julia, 1979 de Wit, Nicolai 1982

$$\frac{E_{7(7)}}{SU(8)}$$

$$70=133-63$$

4D supergravity II

Andrianopoli, D'Auria, Ferrara and Lledo, 2002

Sezgin, Nieuwenhuizen, 1982

Cremmer, Scherk, Schwarz, 1979

5D supergravity compactified on a circle,
in the limit of vanishing masses/gaugings

$$\frac{E_{7(7)}}{SU(8)} \sim \left(\frac{E_{6(6)}}{USp(8)}, \sigma, 27_{axions} \right)$$

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4D **gauged** supergravity I

1/8-BPS $\mathcal{N}=8$ extremal

black holes: one of the
 $\mathcal{N}=8$ attractors with finite
area of the horizon

4D **gauged** supergravity II

Non-BPS $\mathcal{N}=8$ extremal

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Spontaneously broken $\mathcal{N}=8$ supergravity
Cremmer, Scherk, Schwarz, 1979

Symmetric, Iwasawa and partial Iwasawa unitary gauges

In supergravities with physical scalars in G/H coset space, the Lie algebra \mathfrak{g} of a group G can be decomposed into two orthogonal subspaces: the Lie algebra \mathfrak{h} of a group H and a coset space \mathfrak{k} . Here H is the maximal compact group in G .

$$\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{k} \quad [\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}; \quad [\mathfrak{h}, \mathfrak{k}] \subset \mathfrak{k}; \quad [\mathfrak{k}, \mathfrak{k}] \subset \mathfrak{h} \oplus \cancel{\mathfrak{k}}$$

1. Symmetric gauges

These correspond to a generalization of the polar decomposition of a linear matrix into a product of the orthogonal and asymmetric matrix and Λ are the generators of the H group and Σ are the coset generators.

$$\mathcal{V} = e^{\phi \cdot \Sigma} e^{\theta \cdot \Lambda}$$

A **symmetric gauge** is a choice

$$\theta = 0$$

$$\mathcal{V}_{sym}(\phi^r) = e^{\phi^r K_r} \in \exp(\mathfrak{k}) \quad r = 1, \dots, n_{sc}$$

where K_r is a basis of the coset algebra

the on shell Lagrangian has global H -invariance

All scalars occur in the action **non-polynomially**

Coset generators are not in a subalgebra of G

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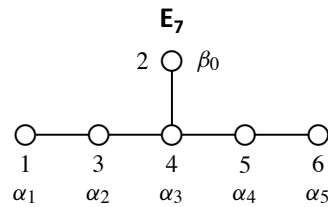
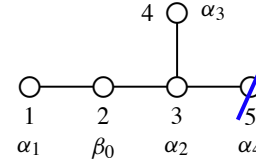
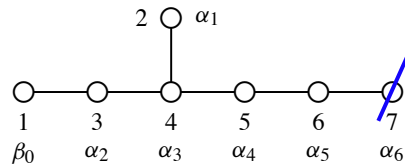
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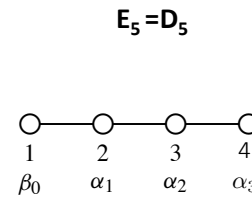
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All amplitude computations fit supergravities in symmetric gauges: there is global $SU(8)$ in 4D superamplitudes and global $USp(4) \times USp(4)$ in 6D etc

2. Iwasawa gauge of the local H-symmetry : the **right node deleted** from the Dynkin diagram of E_{11-D} : in this gauge the theory is related to compactified D+1 supergravity



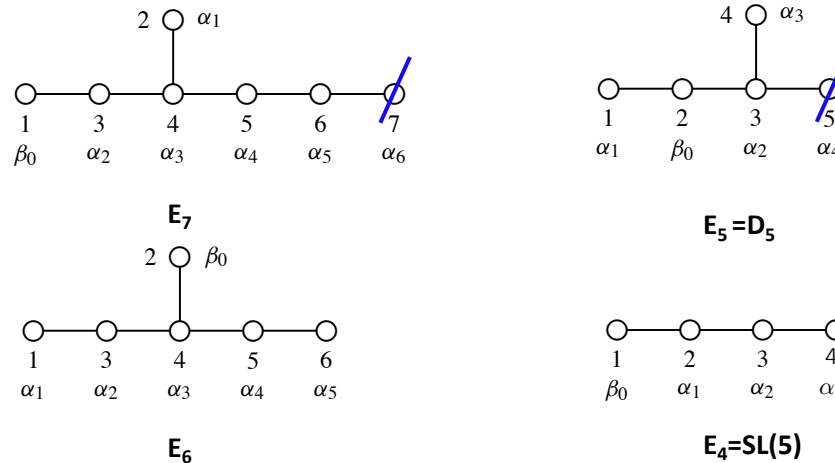
E_6



$E_4 = SL(5)$

In this gauge there is no global E_7 or E_5 , these are **broken**, at best it is E_6 or E_4

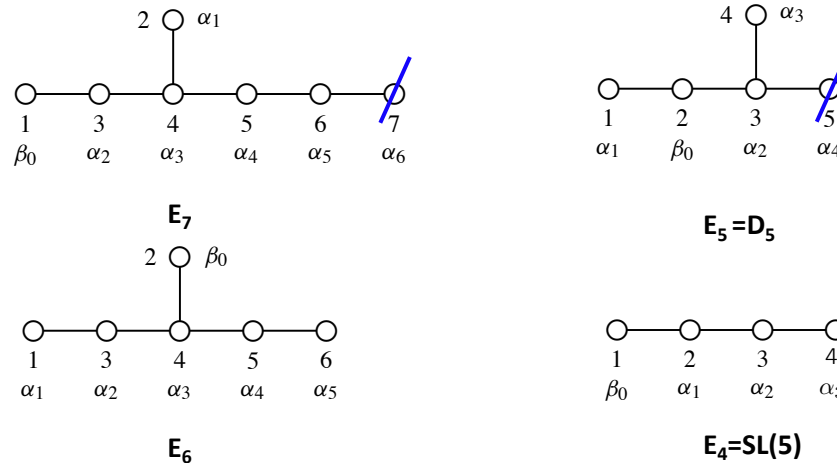
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Marcus computation of global H-symmetry anomaly is relevant in symmetric gauges, but not in Iwasawa-type gauges, unless on shell the observables in these gauges are equivalent.

Iwasawa gauge with the right node deleted

These gauges are suitable for addressing the relation between D-dimensional supergravity with $(G/H)_D$ coset space with the one in D derived from compactified D+1 dimension where the coset space is $(G/H)_{D+1}$

These gauges are associated with the Iwasawa decomposition of G with respect to H and with a solvable parametrization of the coset space so that the gauge-fixed vielbein \mathcal{V} belongs to a solvable Lie group

$$\mathcal{V}_{Iwasawa}(\varphi^r) = e^{\varphi^r T_r} \in \exp(\mathcal{S})$$

Here $\{T_r\}$ is a basis of \mathcal{S} ($r = 1, \dots, n_{sc}$)

\mathcal{S} generators are in a subalgebra of G

$$\mathcal{S} = \mathbf{C} \oplus \mathbf{N}$$

Cartan subspace of the coset space \swarrow \nwarrow nilpotent subalgebra

When the theory originates from a higher dimensional supergravity, \mathbf{C} is parametrized by the dilatonic moduli. \mathbf{N} being nilpotent, is parametrized by axionic moduli.

The axionic scalars occur in the action polynomially

Iwasawa gauge with the right node deleted

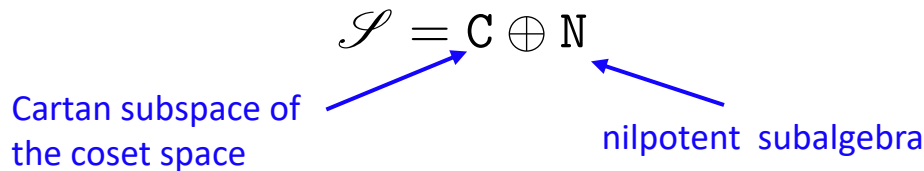
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Partial Iwasawa gauge (not a triangular one)

Example: Sezgin, Nieuwenhuizen, 1982 on spontaneously broken gauged $\mathcal{N}=8$ in 4D, derived by compactification from 5D with local $USp(8)$

Andrianopoli, D'Auria, Ferrara and Lledo, 2002

Type II 4D ungauged supergravity

Cremmer, Julia 1978

Amplitudes and $E_{7(7)}$

hep-th 0808.1446

What is the simplest quantum field theory?

Nima Arkani-Hamed,^a Freddy Cachazo^b and Jared Kaplan^{a,c}

... a non-linearly realized $E_{7(7)}$ symmetry. We elucidate how non-linearly realized symmetries are reflected in the more familiar setting of pion scattering amplitudes, and go on to identify the action of $E_{7(7)}$ on amplitudes in SUGRA.

$E_{7(7)}$ constraints on counterterms in $\mathcal{N} = 8$ supergravity

N. Beisert^a, H. Elvang^{b,c}, D. Freedman^{d,e}, M. Kiermaier^{f,*}, A. Morales^d, S. Stieberger^g

Soft Scalar Limit, direct proof that no $E_{7(7)}$ (\mathcal{R})-invariant candidate counterterm exists below 7-loop order

$E_{7(7)}$ (\mathcal{R}) protects maximal 4D supergravity up to L=6

2010

Cremmer, Julia 1978

Amplitudes and $E_{7(7)}$

What is the simplest quantum field theory?

hep-th 0808.1446

Nima Arkani-Hamed,^a Freddy Cachazo^b and Jared Kaplan^{a,c}

... a non-linearly realized $E_{7(7)}$ symmetry. We elucidate how non-linearly realized symmetries are reflected in the more familiar setting of pion scattering amplitudes, and go on to identify the action of $E_{7(7)}$ on amplitudes in SUGRA.

$E_{7(7)}$ constraints on counterterms in $\mathcal{N} = 8$ supergravity

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Freedman, RK, Yamada, SSL, analogous results for $\mathcal{N}=5,6,8$, all **groups of type E7**, **soft scalar limit does not explain $\mathcal{N}=5$, L=4 cancellation of UV infinities in 82 diagrams**

analog of $\mathcal{N}=8$, L=7

2010

2018

$E_{7(7)}(\mathcal{R})$: Amplitudes, single scalar soft limit

RK and Soroush, 2008

Gauge-fixed maximal supergravity in a symmetric gauge:

$$\mathcal{V} = \mathcal{V}^\dagger \quad \mathcal{V} = \exp \begin{pmatrix} 0 & a \phi_{ijkl} \\ a \bar{\phi}^{mnpq} & 0 \end{pmatrix} \quad \phi_{ijkl} = \frac{1}{24} \eta \epsilon_{ijklmnpq} \bar{\phi}^{mnpq} \quad y_{ij,kl} \equiv \phi_{ijmn} \left(\frac{\tanh(\sqrt{\frac{1}{8} \bar{\phi} \phi})}{\sqrt{\bar{\phi} \phi}} \right)^{mn}_{kl}$$

non-linearly realized exact continuous $E_{7(7)}(\mathcal{R})$

linear $E_{7(7)}(\mathcal{R})$ symmetry

$$\delta y \equiv y' - y = \Sigma + y \bar{\Lambda} - \Lambda y - y \bar{\Sigma} y ,$$

$$\delta \phi_{ijkl} = \Sigma_{ijkl}$$

constant
shift

SU(8)

nonlinear

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Today's talk is about **more symmetries** in maximal 4D supergravity and their role in quantum theory

Gaillard-Zumino 1981

$$Sp(56, \mathbb{R}) \supset E_{7(7)}(\mathbb{R})$$

$$1596 \gg 133$$

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now

$$Sp(56, \mathbb{R}) \supset E_{7(7)}(\mathbb{R})$$

dimension of the
double quotient

takeaway
message

$$1596 - 133 - 784 = 679$$

$$1596 \gg 133$$

enhanced duality

Computational data from amplitudes

4D Three-Loop Superfiniteness of $N = 8$ Supergravity

Bern, Carrasco, Dixon, Johansson, Kosower, Roiban, 2007

The Ultraviolet Behavior of $N = 8$ Supergravity at 4D Four Loops, $E_{7(7)}(\mathcal{R})$

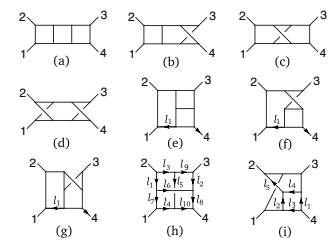
Bern, Carrasco, Dixon, Johansson, Roiban, 2009

Ultraviolet Properties of $N = 8$ Supergravity at Five Loops

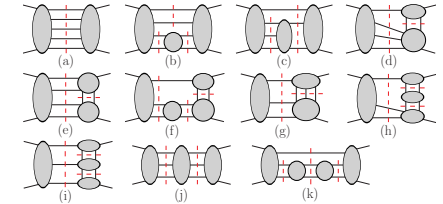
Bern, Carrasco, Wei-Ming Chen, Edison, Johansson, Parra-Martinez, Roiban, Mao Zenga, 2018

the five-loop critical dimension where ultraviolet divergences first occur is $D_c = 24/5 > 4$

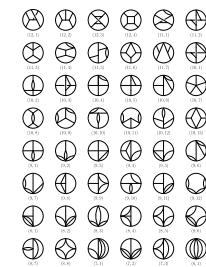
$E_{7(7)}(\mathcal{R})$
Soft scalar limit



Soft scalar limit



$E_{7(7)}(\mathcal{R})$
Soft scalar limit



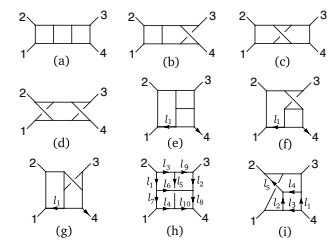
Beisert, Elvang,
Freedman, Kiermaier,
Morales, Stieberger
Up to L=6 for $\mathcal{N}=8$

Computational data from amplitudes

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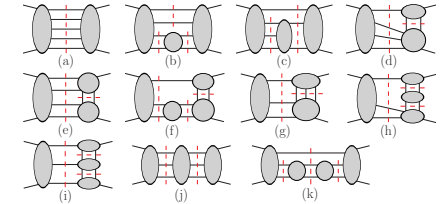
$E_{7(7)}(\mathcal{R})$
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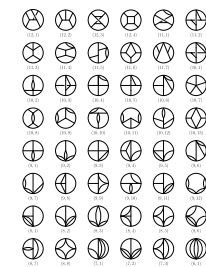
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Beisert, Elvang,
Freedman, Kiermaier,
Morales, Stieberger
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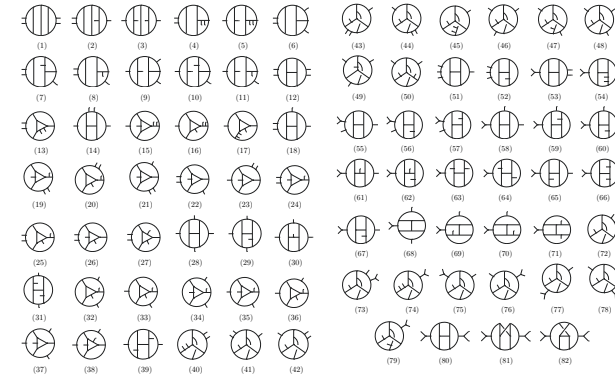
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4-loop 4D $\mathcal{N} = 5$ UV finiteness

Bern, Davies, Dennen, 2014

$$L_{cr}=5$$

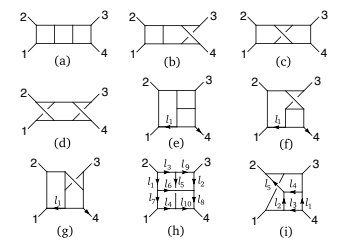
Soft scalar limit of E_7 type
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Freedman, RK, Yamada



Computational data from amplitudes

4D Three-Loop Superfiniteness of N = 8 Supergravity

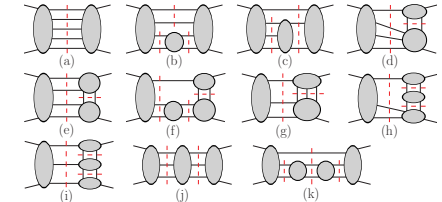
Bern, Carrasco, Dixon, Johansson, Kosower, Roiban, 2007



$E_{7(7)}(\mathcal{R})$
Soft scalar limit

The Ultraviolet Behavior of N = 8 Supergravity at 4D Four Loops, $E_{7(7)}(\mathcal{R})$

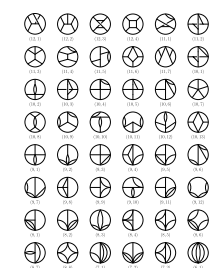
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$E_{7(7)}(\mathcal{R})$
Soft scalar limit

Beisert, Elvang,
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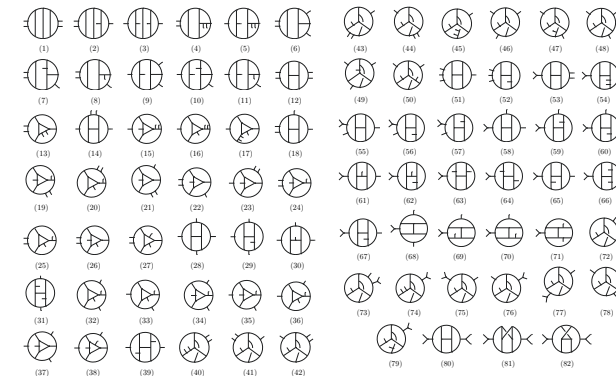
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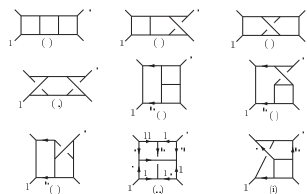
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Manifest Ultraviolet Behavior for the Three-Loop Four-Point Amplitude of N=8 6D Supergravity,

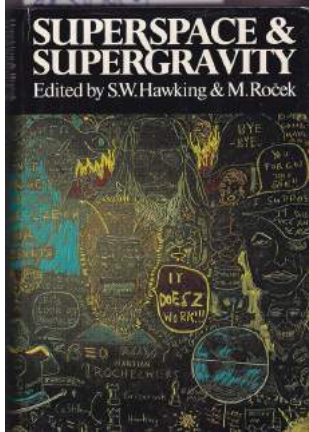
Bern, Carrasco, Dixon, Johansson Roiban, 2008



$E_{5(5)}(\mathcal{R})$

$$M_4^{\mathcal{N}=(2,2) L=3} = \frac{1}{\epsilon} \frac{5\zeta_3}{(4\pi)^9} \left(\frac{\kappa}{2}\right)^4 \delta^6 \left(\sum_{i=1}^4 p_i^{AB} \right) \delta^8 \left(\sum_{i=1}^4 q_i^{A,I} \right) \delta^8 \left(\sum_{i=1}^4 \tilde{q}_{i,A}^{\dot{I}} \right) s_{12} s_{23} s_{34}$$





1980

Bernard Julia: Group Disintegrations

Chain of U-dualities

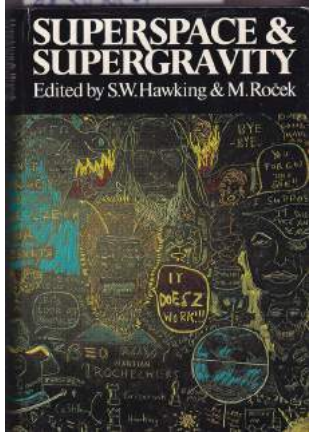
$$E_{8(8)} \supset E_{7(7)} \supset E_{6(6)} \supset E_{5(5)} \supset E_{4(4)} \supset E_{3(3)} \supset E_{2(2)} \supset E_{1(1)} \supset E_{0(0)}$$

$$D = 3 \leftarrow D = 4 \leftarrow D = 5 \leftarrow D = 6 \leftarrow D = 7 \leftarrow D = 8 \leftarrow D = 9 \leftarrow D = 10 \leftarrow D = 11$$

Electro-magnetic dualities are dimension dependent

Gaillard-Zumino, 1981, Tani, 1984

$$-- \quad Sp(56) \quad -- \quad E_{5(5)} \quad -- \quad E_{3(3)} \quad -- \quad -- \quad --$$



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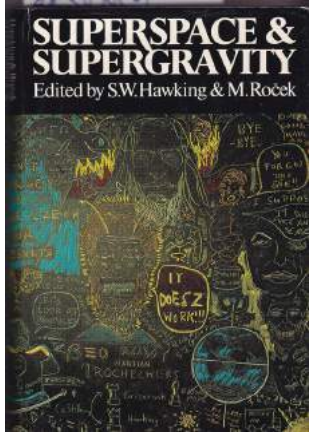
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A **question** is: Why $E_{7(7)}$ symmetry appears to protect, so far, maximal 4D supergravity from UV divergences, whereas $E_{6(6)}$, $E_{5(5)}$, $E_{4(4)}$, $E_{3(3)}$, $E_{2(2)}$ already failed to do so in all $D > 4$ maximal supergravities where there are UV divergences at some loop order?



1980

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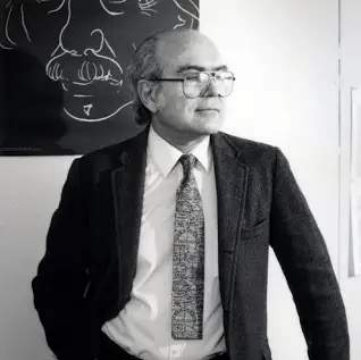
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A **quick answer** is: Only in 4D dimension a maximal duality, including GZ duality, is bigger than that of U-duality:

$$\dim [Sp(56)] \gg \dim [E_{7(7)}]$$

Only in **4D** one can argue **quantum equivalence** of different gauges in supergravities, using these **extra symmetries**



Was undervalued

DUALITY ROTATIONS FOR INTERACTING FIELDS*

Mary K. GAILLARD

LAPP, Annecy-le-Vieux, France

Bruno ZUMINO

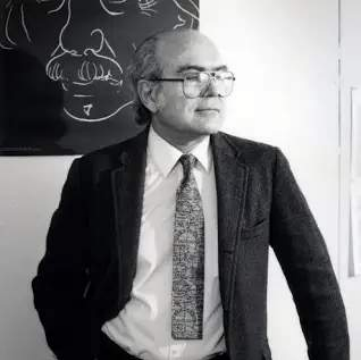
CERN, Geneva, Switzerland

Received 26 May 1981



We study the properties of interacting field theories which are invariant under duality rotations which transform a vector field strength into its dual. We consider non-abelian duality groups and find that the largest group for n interacting field strengths is the non-compact $Sp(2n, R)$, which has $U(n)$ as its maximal compact subgroup. We show that invariance of the equations of motion requires that the lagrangian change in a particular way under duality. We use this property to demonstrate the existence of conserved currents, the invariance of the energy-momentum tensor and the S -matrix, and also in the general construction of the lagrangian.

e. g. 4D, $\mathcal{N}=8$ case	$n=28$: $Sp(56)$ duality, maximal compact subgroup	$U(28)$
	1596	784
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$E_{7(7)}$

$SU(8)$

133

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What is new?

GZ duality in all D +
data from amplitudes

Maximal **Gaillard-Zumino (GZ) electro-magnetic duality** is available in **even** dimensions **$D=2k$**

For **even k** duality group is **symplectic $Sp(2n)$** , for **odd k** it is **orthogonal $SO(n,n)$** .

Supergravities with G/H coset spaces have local H symmetry which can be gauge-fixed in

symmetric, or Iwasawa type gauges

In 4D

$$\dim[Sp(56)] \gg \dim[E_{7(7)}] \quad \mathcal{N}=8$$

$$\dim[Sp(2n)] \gg \dim[G_U] \quad \mathcal{N}=5,6$$

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These extra symmetries allow to establish on shell equivalence of theories quantized in various gauges in supergravities I and II (to be described).

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In **6D and 8D GZ** duality groups have the same dimension as U-duality groups G, in **odd dimensions** there is no GZ duality. Therefore for all **$D > 4$** enhanced symmetries are not available to establish

quantum equivalence

This is consistent with UV divergences below critical loop order in all **$D > 4$** supergravities and absence of these so far in **4D $\mathcal{N} > 4$** supergravities.

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 D = 6, L_{cr} = 4 : & \kappa^6 \int d^6 x D^{10} R^4 + \dots & n = 0 & L_{UV} = 3 < L_{cr} = 4 \\
 D = 7, L_{cr} = 4 : & \kappa^6 \int d^7 x D^{14} R^4 + \dots & n = 4 & L_{UV} = 2 < L_{cr} = 4 \\
 D = 8, L_{cr} = 3 : & \kappa^4 \int d^8 x D^{12} R^4 + \dots & n = 3 & L_{UV} = 1 < L_{cr} = 3 \\
 D = 9, L_{cr} = 3 : & \kappa^4 \int d^9 x D^{15} R^4 + \dots & n = 5 & L_{UV} = 2 < L_{cr} = 3
 \end{array}$$

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 \end{array}$$



On Lagrangians and gaugings of maximal supergravities

Bernard de Wit, Henning Samtleben, Mario Trigiante (2002)

We discuss the subtleties in four spacetime dimensions, where the ungauged Lagrangians are not unique and encoded in an $E_{7(7)} \backslash Sp(56; \mathbb{R}) / GL(28)$ matrix.

Symplectic Frames and Lagrangians

The measure of **enhanced duality** is a dimension of the **double quotient**

quotient space: G/H

G modulo H

Coset

G/H

where G is a group and
 H is the subgroup of G

Double quotient

$G \backslash X / Y$

where X is a group and
 G, Y are subgroups of X

The measure of **enhanced duality** is a dimension of the **double quotient**

de Wit, Samtleben, Trigiante, 2002

$$E^{4D} = G_U(\mathbb{R}) \backslash Sp(2n_v, \mathbb{R}) / GL(n_v, \mathbb{R})$$

$$E_{\mathcal{N}=8}^{4D} = E_{7(7)}(\mathbb{R}) \backslash Sp(56, \mathbb{R}) / GL(28, \mathbb{R})$$

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Non-trivial only in 4D, N=5,6,8

quotient space: G/H

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Combining
theory with
amplitude
data one
can
understand
the pattern,
explain the
data, make
predictions

1. Faddeev-Fradkin-Tyutin-Batalin-Vilkovisky-Henneaux, Hamiltonian path integral for gauge theories, 1969
2. Gaillard-Zumino duality, 1981
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No proof available of the gauge-independence of the on shell S-matrix on the choice of the gauge or supergravity type. Evidence that in all $D > 4$ there are UV divergences below critical loop \longrightarrow anomalies

How to prove the on shell gauge-independence of the S-matrix?

Why $Sp(2n, \mathcal{R})$ helps? We use the classical **construction of de Wit, Samtleben, Trigiante** of 4D **symplectic frames**

Use **4D GZ duality transformation** to change the Lagrangian

$$\delta L = \frac{1}{4}(FC\tilde{F} + GB\tilde{G}) \quad F_{\mu\nu} = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu$$
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$$\begin{pmatrix} F \\ G \end{pmatrix} \Big|_{on \ shell} = \begin{pmatrix} d\mathcal{A} \\ d\mathcal{B} \end{pmatrix}$$

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Off shell Lagrangians are different in different frames: however, on shell equations of motion and BI are the same and have a U-duality G_U symmetry

$\mathcal{N}=8$ example The $SL(8, \mathbb{R})$ symplectic frame: $G_e = SL(8, \mathbb{R})$
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Cremmer et al 4D action I
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It was necessary to have

$$\dim[Sp(2n_v)] > \dim[G_U] + \dim GL(n_v)$$

To promote **classical equivalence** due to $\text{Sp}(2n, \mathcal{R})$ duality of different versions of supergravities to **quantum equivalence** one has to address the problem: **duality symmetry** acts on

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

Noether-Gaillard-Zumino **$\text{Sp}(2n_v)$ conserved current** in 4D supergravity

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Hamiltonian: simplified version of 4D maximal supergravity with vector-scalar action (no gravity and no fermions, which are duality neutral)

Start with 4D **classical** vector-scalar action of DeWit, Hamtleben, Trigiante,
any symplectic frame, any gauge

$$e^{-1} \mathcal{L}_{vector} = -\frac{1}{4} \mathcal{I}_{IJ}(\phi) F_{\mu\nu}^I F^{J\mu\nu} + \frac{1}{8} \mathcal{R}_{IJ}(\phi) \varepsilon^{\mu\nu\rho\sigma} F_{\mu\nu}^I F_{\rho\sigma}^J$$

Using double quotient
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RK, hep-th 2024

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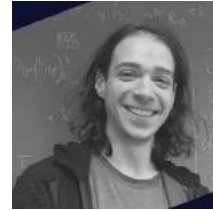
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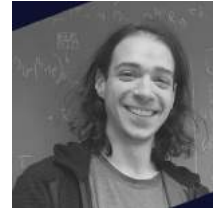
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Compare with Fujikawa anomaly

How to prove quantum equivalence of the 6D supergravity in different gauges and supergravity I and II?

This would be the proof that a local $H=SO(5)\times SO(5)$ and global $E_{5(5)}$ symmetries have no anomalies: a symmetric and Iwasawa gauges give the same S-matrix

There is no non-trivial quotient $SO(5,5)/E_{5(5)}$, so there are no different frames, no extra classical symmetries for different actions which are the same on shell!

$$SO(5,5) = E_{5(5)}$$

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RK, 2311.10084, 2402.03453

[2402.03453](#)

[JHEP06\(2024\)035](#)

Same for D=5,6,7,8,9

“... **in absence of duality and supersymmetry anomalies**, which still require a better understanding, $N \geq 5$ perturbative supergravities may be UV finite at higher-loops”

RK, 2019

“...in the **absence of anomalies**, E7 type duality together with supersymmetry, might protect $\mathcal{N} \geq 5$ supergravity from UV divergences”

M. Gunaydin and RK, 2019

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UV divergences in loops below critical order signify local H symmetry, global G symmetry anomaly and local supersymmetry anomaly

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In 4D
 $\mathcal{N} \geq 5$

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if duality enhancement is an explanation of absence of 4D UV divergences so far, we will see UV finiteness at higher loops

Closure or opening?

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Closure or opening?

$$Sp(56, \mathbb{R}) \supset E_{7(7)},$$

$$\mathcal{N}=8$$

$$Sp(32, \mathbb{R}) \supset SO^*(12),$$

$$\mathcal{N}=6$$

$$Sp(20, \mathbb{R}) \supset SU(1, 5)$$

$$\mathcal{N}=5$$

The crucial test of my arguments: **is the double quotient in 4D $\mathcal{N}=5$ supergravity non-trivial?**

$$E_{\mathcal{N}=5}^{4D} = SU(1, 5)(\mathbb{R}) \backslash Sp(20, \mathbb{R}) / GL(10, \mathbb{R}) : \quad 210 - 35 - 100 = 75$$

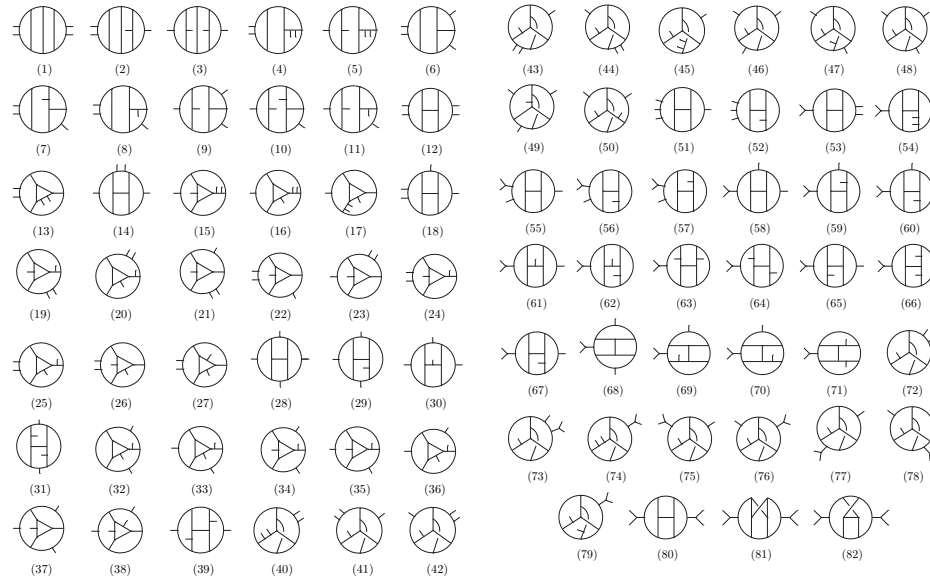
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Yes!

A decent amount of enhanced dualities

Cancellation of 82 diagrams supports “no local H-anomaly”



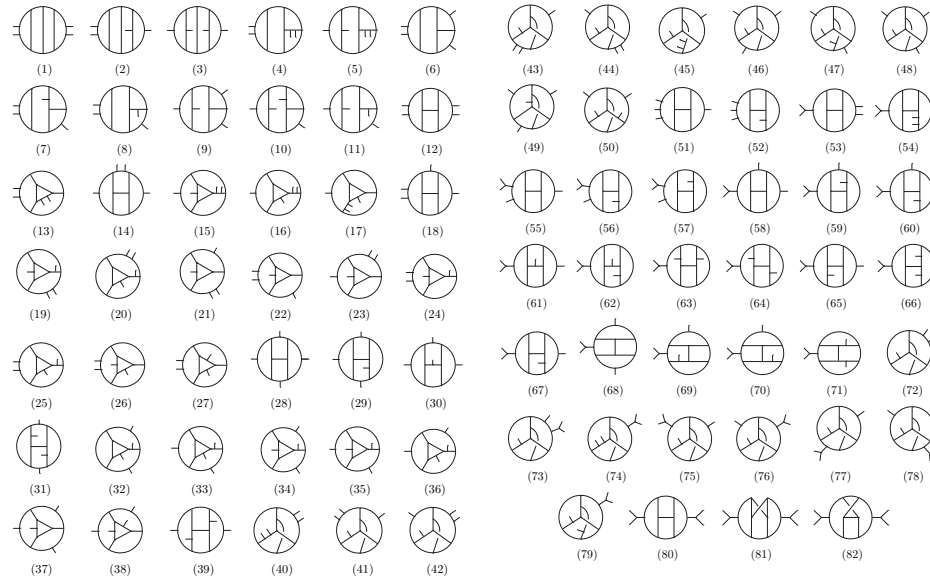
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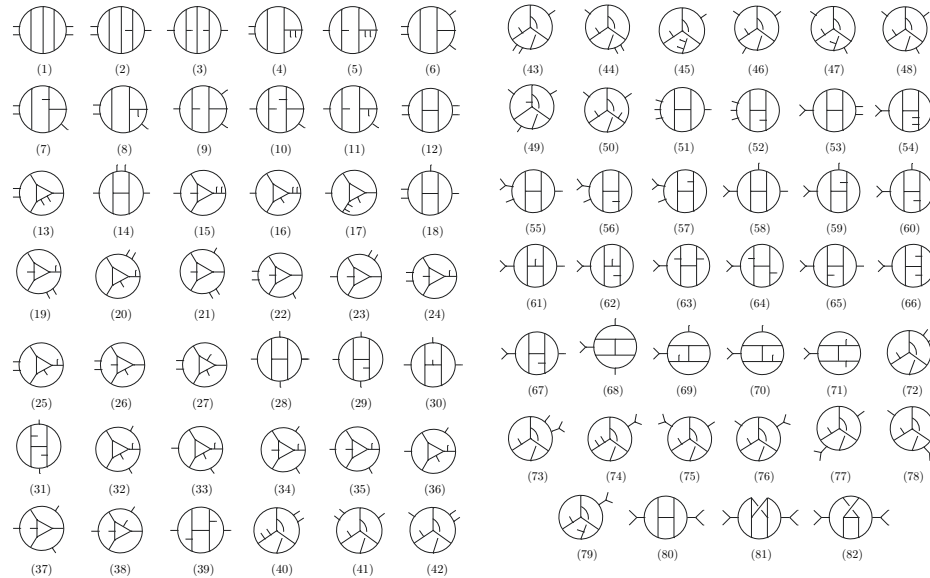
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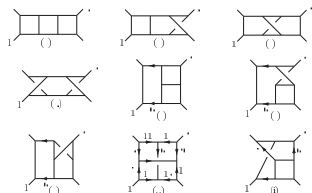
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Enhanced duality explains enhanced cancellations in $\mathcal{N}>4$ supergravity in 4D

Absence of enhanced duality consistent with the UV divergence in 6D maximal supergravity



$$M_4^{\mathcal{N}=(2,2) \text{ L}=3} = \frac{1}{\epsilon} \frac{5\zeta_3}{(4\pi)^9} \left(\frac{\kappa}{2}\right)^4 \delta^6 \left(\sum_{i=1}^4 p_i^{AB} \right) \delta^8 \left(\sum_{i=1}^4 q_i^{A,I} \right) \delta^8 \left(\sum_{i=1}^4 \tilde{q}_{i,\hat{A}} \right) s_{12} s_{23} s_{34}$$

Back up slides

Paul Ehrenfest,



In what way does it become manifest in the fundamental laws of physics that space has three dimensions?

The Royal Netherlands Academy of Arts and Sciences (KNAW),
Proceedings, 20 I, 1918, Amsterdam, 1918, pp. 200-209
Communicated by Prof. Dr. H. A. Lorentz

<https://dwc.knaw.nl/DL/publications/PU00012213.pdf>

$$V(r) = -\kappa \frac{Mm}{(D-3)r^{D-3}}, \quad D > 3$$

In R_3 a small disturbance leaves the trajectory finite if the energy is not too great

In R_{D-1} $D > 4$ the planet falls on the attracting centre or flies away infinitely, there is no elliptic motion.
- All trajectories have the character of spirals.

There is **no stable planetary motion at $D > 4$** , therefore **$D=4$ is special in classical gravity**

Anthropic argument: we live in $D=4$ where planetary motion is stable and supports life

String theoretic models of the universe postulate more than three physical space dimensions, but those beyond three are typically small and unobservable.

Amplitudes 2024

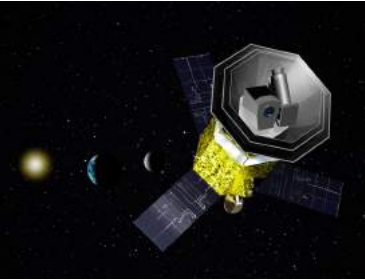
ERC Synergy Project UNIVERSE+

One may wonder why so many experts in amplitudes, quantum gravity, and string theory are interested in cosmology?

Four decades ago, a prediction was made that **galaxies were formed from quantum fluctuations** generated at the universe's first moments of existence. This was the single **most significant experimentally confirmed achievement** that brings together fundamental theoretical particle physics and cosmology.

Theory and experiment: primordial gravitational waves

Targets include: cosmological α -attractor inflation models

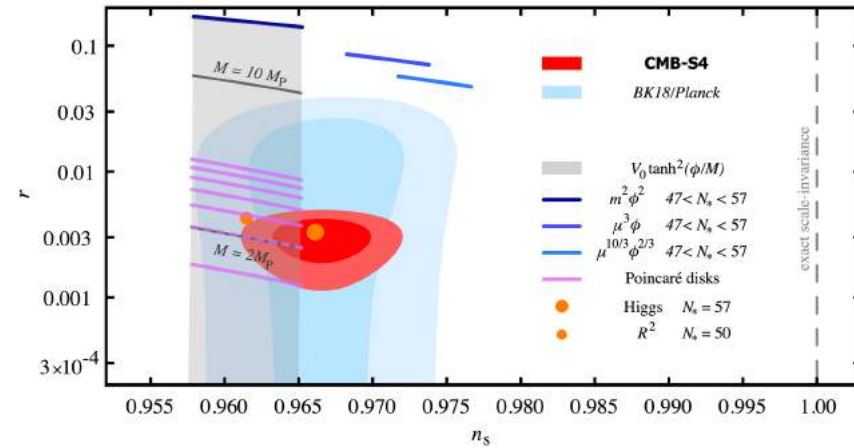


LiteBIRD

Launch date: 2032



BICEP



Probing Cosmic Inflation with Cosmic Microwave Background Polarization Survey CMB-S4, South Pole Telescope, Simons Observatory

LISA

Launch date: 2035

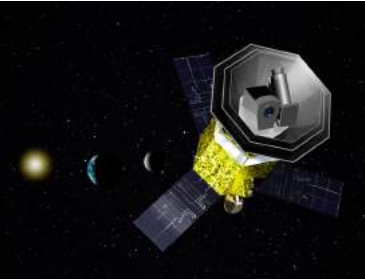
GW from black hole merger

Primordial black holes
Stochastic gravitational waves
from the early evolution of the universe

H₀ tension?

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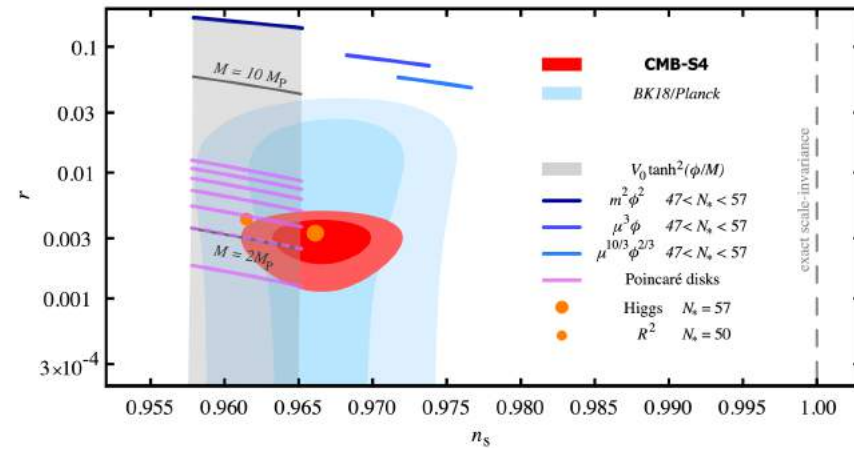


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Waiting for new data!

Today's talk

Theory versus the data: amplitude loop computations

2007, Lance Dixon call SLAC to Stanford

Hi, Renata, we have found that 3-loop UV divergence in $\mathcal{N}=8$ supergravity cancels

Hi, Lance, in what supergravity gauge you made your computation?

We do not use supergravity: we compute an on-shell S-matrix using unitarity and maximal SYM

= we do not care about the choice of the gauge




But some properties of supergravities might be **behind the scene**

Why UV divergences cancel sometimes?

	Global	Local	
D	G	H	$\dim [G] - \dim [H]$
11	1	1	$0 - 0 = 0$
10A	$SO(1, 1)/Z_2$	1	$1 - 0 = 1$
$E_{1(+1)} = \mathbb{R}$ 10B	$SL(2)$	$SO(2)$	$3 - 1 = 2$
$E_{2(+2)}$ 9	$GL(2)$	$SO(2)$	$4 - 1 = 3$
\longrightarrow 8	$E_{3(+3)} \sim SL(3) \times SL(2)$	$U(2)$	$11 - 4 = 7$
7	$E_{4(+4)} \sim SL(5)$	$USp(4)$	$24 - 10 = 14$
\longrightarrow 6	$E_{5(+5)} \sim SO(5, 5)$	$USp(4) \times USp(4)$	$45 - 20 = 25$
5	$E_{6(+6)}$	$USp(8)$	$78 - 36 = 42$
\longrightarrow 4	$E_{7(+7)}$	$SU(8)$	$133 - 63 = 70$
3	$E_{8(+8)}$	$SO(16)$	$248 - 120 = 128$

Homogeneous scalar manifolds G/H for maximal supergravities in [integer dimensions](#)

U-duality: $E_{d+1} = G_{U=} E_{11-D(11-D)} = E_{d+1(d+1)}$ often called E_{d+1} $d=10-D$

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We have added blue arrows in $D=4,6,8$ where [GZ type duality](#) is available

I Bosonic 4D supergravity of Cremmer-Julia-de Wit-Nicolai

$$(G/H)_{4D} = E_{7(7)}/SU(8)$$

$$\mathcal{L}_1 = e R + \frac{1}{4} e \operatorname{tr}(\partial_\mu \mathcal{M} \partial^\mu \mathcal{M}^{-1}) + \frac{1}{8} e F_{\mu\nu}^{ab} *G_{ab}^{\mu\nu}, \quad a, b=1\dots 8$$

28 F and 28 G form a 56-dimensional rep of $E_{7(7)}$

28-dimensional rep of $SL(8, R)$

scalar-dependent linear combination of F and *F

$$\mathcal{M} = \mathcal{V}^T \eta \mathcal{V}$$

In symmetric gauge
70 physical scalars in complex 35 of $SU(8)$

$$\mathcal{L}_2 = e R + \frac{1}{4} e \operatorname{tr}(\partial_\mu \mathcal{M} \partial^\mu \mathcal{M}^{-1}) - \frac{1}{8} H_{(2)}^T \mathcal{M} H_{(2)} \quad H_{(2)} = \Omega \mathcal{M} * H_{(2)} \quad H_{(2)} = \begin{pmatrix} F \\ G \end{pmatrix} \quad \Omega = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$$

silver rule

II Bosonic 4D supergravity derived by Andrianopoli, D'Auria, Ferrara and Lledo', 2002 from Sezgin, Nieuwenhuizen 5D supergravity compactified on a circle, 1982, in the limit of vanishing gaugings

5D \rightarrow 4D

$$\begin{aligned} \mathcal{L}_{4D} = & -\frac{1}{4} V R + \frac{3}{2} V \partial_\mu \phi \partial^\mu \phi + \frac{1}{4} V e^{-4\phi} \hat{\mathcal{N}}_{\Lambda\Sigma} \partial_\mu a^\Lambda \partial^\mu a^\Sigma + \frac{1}{24} V P_\mu^{abcd} P_{abcd}^\mu + \\ & + V \Im(\mathcal{N}_{00}) B_{\mu\nu} B^{\mu\nu} + 2V \Im(\mathcal{N}_{0\Lambda}) Z_{\mu\nu}^\Lambda B^{\mu\nu} + V \Im(\mathcal{N}_{\Lambda\Sigma}) Z_{\mu\nu}^\Lambda Z^{\Sigma\mu\nu} + \\ & + \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} [\Re(\mathcal{N}_{00}) B_{\mu\nu} B_{\rho\sigma} + 2\Re(\mathcal{N}_{\Lambda 0}) B_{\mu\nu} Z_{\rho\sigma}^\Lambda + \Re(\mathcal{N}_{\Lambda\Sigma}) Z_{\mu\nu}^\Lambda Z_{\rho\sigma}^\Sigma] \end{aligned}$$

$$133 \xrightarrow{E_{6,6} \times SO(1,1)} 78_0 + 1_0 + 27_{-2} + 27'_{+2}$$

$$(G/H)_{5D} = E_{6(6)}/USp(8)$$

Decomposition of $E_{7(7)}$ under the subgroup $E_{6,6} \times SO(1,1)$

$$\frac{G_D}{H_D} \sim \left(\frac{G_{D+1}}{H_{D+1}}, r_{D+1}, \mathbf{V}_r^{D+1} \right)$$

abelian ideal

$$70 \xrightarrow{USp(8)} 42 + 27 + 1$$

$$56 \xrightarrow{E_{6,6} \times SO(1,1)} 27_{+1} + 27'_{-1} + 1_{+3} + 1_{-3}$$

Same field content, maximal number of local supersymmetries

CJdWN classical action in the $SL(8, R)$ frame in a symmetric gauge

dWST classical action in the $E_{6(6)}$ frame in a parabolic gauge

\rightarrow AD'AFL 5D \rightarrow 4D action

$\mathcal{N}=8$ 4D extremal black hole attractors

Ferrara, RK, 2006

Non-BPS black holes

$$E_{7(7)} \rightarrow E_{6(6)} \times SO(1, 1)$$

Relation to 5D \rightarrow 4D type II supergravity

Orbits of Exceptional Groups, Duality and BPS States in String Theory

Ferrara, Gunaydin, 1997

Extremal BPS black hole states coming from string and M theory compactifications to 4D and 5D, preserving various fractions of the original $\mathcal{N} = 8$ supersymmetry, can be invariantly classified in terms of orbits of the fundamental representations of the exceptional groups $E_{7(7)}$ and $E_{6(6)}$

Only 1/8 BPS and non-BPS states have non vanishing entropy and regular horizons, while 1/4 and 1/2 BPS configurations lead to vanishing classical entropy

Ceresole, Ferrara, Gnecci, Marrani, 2009

4D Non-BPS extremal KK black hole solutions with spontaneously broken $\mathcal{N} = 8$ supersymmetry are based on solvable Lie algebra

$$\text{Non-BPS orbit } \mathcal{O}_{non-BPS} : \begin{cases} E_{7(7)} \rightarrow E_{6(6)} \times SO(1, 1); & \mathbf{70} \rightarrow \mathbf{42} + \mathbf{27} + \mathbf{1} \\ \mathbf{56} \rightarrow (\mathbf{27}, 1) + (\mathbf{1}, 3) + (\mathbf{27}', -1) + (\mathbf{1}', -3) \end{cases}$$

Instead of a standard $\mathcal{N} = 8$

$$\mathbf{56} \rightarrow \mathbf{28} + \mathbf{28}' \quad \text{of } SU(8)$$

$\mathbf{70}$, 4-fold antisymmetric self-real irrep of $SU(8)$

$\mathcal{N}=8$ D=4 extremal black holes

RK, Kol 1996

Ferrara, RK, 2006

$$\frac{1}{2}, \frac{1}{4}, \frac{1}{8} \quad \text{BPS}$$

and non-BPS

4 complex central charges

$$z_i = \rho_i e^{i\frac{\varphi}{4}}$$

$\frac{1}{2}$ BPS

$$|z_1| = |z_2| = |z_3| = |z_4|$$

$\frac{1}{4}$ BPS

$$|z_1| > |z_2| \quad |z_3| = |z_4| = 0$$

$\frac{1}{8}$ BPS

$$|z_2| = |z_3| = |z_4| = 0$$

Non-BPS

$$z_i = \rho e^{i\frac{\pi}{4}}$$

I Bosonic 6D supergravity of Tani-Begshoeff-Samtleben-Sezgin

$$(G/H)_{6D} = E_{5(5)}/SO(5) \times SO(5)$$

$$\mathcal{L} = eR + \frac{1}{4}e \operatorname{tr}(\partial_\mu \mathcal{M}^{-1} \partial^\mu \mathcal{M}) - \frac{1}{24}e H_{(3)}^T \mathcal{M} H_{(3)}$$

$$dH_{(3)} = 0, \quad d * (\mathcal{M} H_{(3)}) = 0,$$

$$H_{(3)} = \Omega \mathcal{M} * H_{(3)}$$

silver rule

There is a local $SO(5) \times SO(5)$ H-symmetry and on shell global $SO(5,5)$

The kinetic terms for the scalars and 2-form potentials can then be written in the manifestly $E_{5(5)} = SO(5,5)$ -invariant form. In symmetric gauge \mathcal{M} is the $SO(5,5)/SO(5) \times SO(5)$ coset matrix and the action has a global H-symmetry $SO(5) \times SO(5) = Sp(4) \times Sp(4)$

Marcus, 1981: 1-loop $Sp(4) \times Sp(4)$ anomaly cancels

II Bosonic 6D supergravity derived by Cowdall, 1998, from 7D supergravity of Pernici, Pilch, van Nieuwenhuizen, 1984, and compactified on a circle, in the limit of vanishing gaugings

It has local $SO(5)$ symmetry and an on shell global $SL(5, \mathbb{R})$ inherited from 7D

$$(G/H)_{7D} = SL(5)/SO(5)$$

$$\begin{aligned} 7D \rightarrow 6D \quad e^{-1} \mathcal{L}_6 = & R - \frac{1}{4} e^{-\frac{5\sigma}{\sqrt{10}}} (f_{\mu\nu})^2 - \frac{1}{12} e^{-\frac{2\sigma}{\sqrt{10}}} (\Pi^{-1}_i{}^I H_{\mu\nu\rho I})^2 - \frac{1}{4} e^{-\frac{\sigma}{\sqrt{10}}} (\Pi_I{}^i \Pi_J{}^j F_{\mu\nu}^{IJ})^2 \\ & - \frac{1}{4} e^{\frac{3\sigma}{\sqrt{10}}} (\Pi^{-1}_i{}^I G_{\mu\nu I})^2 - \frac{1}{2} e^{\frac{4\sigma}{\sqrt{10}}} (\Pi_I{}^i \Pi_J{}^j F_\mu^{IJ})^2 - \frac{1}{2} (\partial_\mu \sigma)^2 - P_{\mu ij} P^{\mu ij} \\ & - \frac{e^{-1}}{36\sqrt{2}} \epsilon^{\mu\nu\rho\sigma\lambda\tau} B_0{}^{IJ} H_{\mu\nu\rho I} H_{\sigma\lambda\tau J} - \frac{e^{-1}}{6\sqrt{2}} \epsilon^{\mu\nu\rho\sigma\lambda\tau} H_{\mu\nu\rho I} B_\sigma{}^{IJ} G_{\lambda\tau J} \end{aligned}$$

TBSS classical action in the Iwasawa gauge \rightarrow Cowdall action

Same scalar field content, but not vectors, maximal number of local supersymmetries

Different symplectic frames constructed by dWST were given in the form preserving local H-symmetry

New: bridge between Sp(56) and SU(8)

Old: bridge between $E_{7(7)}$ and SU(8)

There is a new 56-bein
no longer a group element of $E_{7(7)}$

$$\hat{\mathcal{V}}(x) = E^{-1} \mathcal{V}(x)$$

$$E = \begin{pmatrix} U_{IJ}^{AB} & V_{IJCD} \\ V^{KLAB} & U^{KL}_{CD} \end{pmatrix}$$

$$\mathcal{V}(x) = \begin{pmatrix} u^{ij}_{IJ}(x) & -v_{klIJ}(x) \\ -v^{ijKL}(x) & u_{kl}^{KL}(x) \end{pmatrix}$$

$$E_{7(7)} \subset \text{Sp}(56; \mathbb{R})$$

$$i, j \text{ in } \text{SU}(8), \quad I, J \text{ in } E_{7(7)}$$

$$E_{7(7)} \backslash \text{Sp}(56; \mathbb{R}) / \text{GL}(28)$$



Lagrangian in SL(8, R) basis: CJdWN \mathcal{L} with local SU(8) H-symmetry

Lagrangian in $E_{6(6)}$ basis: dWST \mathcal{L} with local SU(8) H-symmetry, related to CJdWN \mathcal{L} by a change of the symplectic frame

Off shell these two theories are different, but on shell equivalent due to a property of the GZ duality

Take CJdWN \mathcal{L} In SL(8, R) frame and gauge-fix local H-symmetry in a symmetric gauge

Take dWST \mathcal{L} In $E_{6(6)}$ frame and gauge-fix local H-symmetry in a parabolic gauge, get

5D \rightarrow 4D
supergravity

Quantum equivalence between standard 4D supergravity and 5D \rightarrow 4D follows from **classical on shell equivalence** of different symplectic frames in 4D supergravity

Gauge-independence

Noether-Gaillard-Zumino $Sp(2n_v)$ conserved current in 4D supergravity

Duality symmetry is different from Noether symmetries by the fact that it acts on doublets of field strength's rather than on vector fields as the standard Noether symmetry

$$\delta_{Sp(2n_v)} \begin{pmatrix} F \\ G \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} F \\ G \end{pmatrix}, \quad C = C^T, \quad B = B^T, \quad D = -A^T$$

$$F_{\mu\nu} = \partial_\mu \mathcal{A}_\nu - \partial_\nu \mathcal{A}_\mu, \quad \tilde{G}_{\mu\nu} = 2 \frac{\partial L}{\partial F^{\mu\nu}}$$

The action of duality on vector fields is non-local, why duality symmetry is NOT a Noether symmetry

NGZ identity
$$\frac{\delta}{\delta F^\Lambda} \left(S[F', \varphi'] - S[F, \varphi] - \frac{1}{4} \int (\tilde{F}CF + \tilde{G}BG) \right) = 0$$

$Sp(2n_v)$ conserved current consists of 2 parts: standard Noether current for scalars and Gaillard-Zumino current

$$J_{\mathcal{V}}^\mu = \frac{\partial \mathcal{L}_{\mathcal{V}}}{\partial (\partial_\mu \mathcal{V})} \delta \mathcal{V} \quad \hat{J}_{GZ}^\mu \equiv \frac{1}{2} \left(\tilde{G}^{\mu\nu} A \mathcal{A}_\nu - \tilde{F}^{\mu\nu} C \mathcal{A}_\nu + \tilde{G}^{\mu\nu} B \mathcal{B}_\nu - \tilde{F}^{\mu\nu} D \mathcal{B}_\nu \right)$$

The classical Lagrangian provides the conservation of the total current, the Noether current of the scalars and the Gaillard-Zumino current of vectors

$$\partial_\mu J_{NGZ}^\mu = \partial_\mu \hat{J}_{GZ}^\mu + \partial_\mu J_{\mathcal{V}}^\mu = 0$$

The proof that the $Sp(2n, R)$ current conservation requires that scalar and vector field equations are satisfied follows from NGZ identity

Why E&M GZ duality is available only in even dimensions and why symplectic or orthogonal

Only in even dimensions $D=2k$ there are both electric and magnetic k-forms

electric $F_{\mu_1 \dots \mu_k}$

magnetic $\tilde{F}^{\mu_1 \dots \mu_k} = \frac{1}{k!} e^{-1} \epsilon^{\mu_1 \dots \mu_k \nu_1 \dots \nu_k} F_{\nu_1 \dots \nu_k}$

4D, 2-forms
6D, 3-forms
8D 4-forms

Only electric forms are in the Lagrangian $\frac{1}{2k!} F \cdot *G + L(\phi) = \mathbf{L}_1(F, *F)$

the expression for the k-form $G(F, *F)$ can be solved in terms of electric k-forms using a constraint imposed on a k-form doublet

$$H = \begin{pmatrix} F \\ G \end{pmatrix}$$

$$\delta_X \begin{pmatrix} F \\ G \end{pmatrix} = X \begin{pmatrix} F \\ G \end{pmatrix}$$

$$\Omega_{k=2p} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad \Omega_{k=2p+1} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

$$**H = (-1)^{k-1} H$$

$$X^T \Omega = -\Omega X$$

$$H = \Omega \mathcal{M} *H$$

silver rule

$$-\frac{1}{4k!} H^T \mathcal{M} H + L(\phi) = \mathbf{L}_2(H)$$

$$*H = \Omega \mathcal{M} **H = (-1)^{k-1} \Omega \mathcal{M} H, \quad H = (\Omega \mathcal{M})^2 (-1)^{k-1} H, \quad (\Omega \mathcal{M})^2 = (-1)^{k-1} I$$

even $k=D/2 : \text{Sp}(2n)$

n is the number of k-forms

odd $k=D/2 : \text{SO}(n,n)$